

National Sample Survey Office - M/o Statistcs and Programme Implementation(MOSPI), Government of India (GOI)

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### Overview

### **Identification**

**ID NUMBER** 

DDI-IND-MOSPI-NSSO-58Rnd-Sch-0dot21-2002

#### Version

VERSION DESCRIPTION

V1.0; Re-organised anonymised dataset for public distribution.

PRODUCTION DATE

2012-05-15

#### Overview

#### **ABSTRACT**

The NSSO conducted an integrated household survey in its 58th round during the period July 2002 to December 2002. Some general features about the slum, including information on any improvement/change in the condition with respect to some amenities of the

slum during the last 5 years were collected in this survey through Schedule 0.21.

The main aim of the survey on condition of slums was to portray the condition of the urban slums, both notified and non-notified, with respect to infrastructural facilities like the area where the slum was located, road within and approaching the slum, electricity,

drinking water, sewerage, drainage, garbage disposal, etc. In addition, data on change in the condition of some of these facilities, and source of the improvement, if there was any such improvement over the last five years, were also collected.

KIND OF DATA

Sample survey data [ssd]

**UNITS OF ANALYSIS** 

Randomly selected urban slums based on sampling procedure.

### Scope

#### **NOTES**

The National Sample Survey Organisation (NSSO) conducted an integrated survey encompassing various aspects of the socio-economic scenario during July to December 2002. The survey, inter-alia, included the condition of urban slums. Unlike various other surveys conducted by the NSSO where the information is collected from each selected household, information on the civic facilities of the slums was collected from one or more knowledgeable persons from each of the selected slums. This was the third survey on slums after the 31st round (1976-77) and the 49th round (January-June 1993). As the slum is essentially an urban phenomenon, this survey covered only the urban areas.

Schedule 0.21 was framed to collect information on the present condition of the slums and on the change in the condition of some facilities available therein. The schedule was canvassed for each surveyed urban block having slum(s). Thus the schedule was canvassed in the urban sector only. Information on each slum, notified or non-notified, found in the entire selected first stage unit (FSU) was collected even if sub-block formation was resorted to. In some cases, the slum covered such a large area that it cuts across more than one FSU, and the selected FSU was part of the slum. In such cases, all the slum particulars recorded was related to only that part of the slum, which fell in the selected FSU. However, if the FSU

contained a part of a notified slum with at least 20 households, then the part of the slum falling in the FSU was regarded as a notified slum and the schedule was canvassed accordingly

Schedule 0.21 consisted of eight blocks. Blocks 0 and 1 meant to record the identification particulars of the selected urban FSU. Blocks 2, 5, 6 and 7 contained particulars of field operations, remarks by the investigator/senior investigator, comments by superintendent/senior superintendent and comments by other supervisory officer(s). In Blocks 3 and 4, data were recorded for each slum inside the selected FSU and contains information on ownership, area type, structure, living facilities like electricity, drinking water, latrine, sewerage, drainage, garbage disposal, distance of the slum from nearest primary school and government hospital/health centre. It also provides information on the change in the condition of the urban slums during the last five years along with the sources of improvement of the facilities, if any.

#### **KEYWORDS**

Notified slum, Flood risk, Approach Road, Slum dwellers, Living facilities, Garbage disposal, Drainage

### Coverage

#### GEOGRAPHIC COVERAGE

The survey covered the whole URBAN area of the Indian Union.

### **Producers and Sponsors**

#### PRIMARY INVESTIGATOR(S)

Name	Affiliation
National Sample Survey Office	M/o Statistcs and Programme Implementation(MOSPI),Government of India (GOI)

#### OTHER PRODUCER(S)

Name	Affiliation	Role
Survey Design Reearch Division	National Sample Survey Office	Questionnaire Desgn, Sampling methodology,Survey Reports
Field Operations Division	National Sample Survey Office	Field Work
Data Processing Division	National Sample Survey Office	Data Processing
Computer Centre	M/o Statistcs and Programme Implementation(MOSPI),Government of India (GOI)	Dissemination

#### **FUNDING**

Name	Abbreviation	Role
M/o Statistics & Programme Implementation, GOI	MOSPI	

#### OTHER ACKNOWLEDGEMENTS

Name	Affiliation	Role
Governing council and Working Group	GOI	Finalisation of survey study and Questionnaire

### **Metadata Production**

#### METADATA PRODUCED BY

Name	Abbreviation	Affiliation	Role
Computer Centre	MOSPI, CC	M/O Statistics & Programme Implementation	Documentation of the study

DATE OF METADATA PRODUCTION

2012-05-17

DDI DOCUMENT VERSION Version 1.0 (May 2012)

DDI DOCUMENT ID DDI-IND-MOSPI-NSSO-58Rnd-Sch-0dot21-2002

# Sampling

### **Sampling Procedure**

A stratified sampling design was adopted for selection of the sample first-stage units (FSUs). The FSUs, for the survey on condition of slums were Urban Frame Survey (UFS) blocks in urban areas. Information on each slum, notified or non-notified, found in the entire

selected first stage units (FSUs) was collected. In some cases, area of a slum was very large and the selected FSU was part of the slum. In such cases, information was collected for the slum area falling under the sample FSU only. However, if the slum was notified, then the part of it falling under the sample FSU was considered as a notified slum. It may be noted that if a slum had cut across more than one FSU, all the slum particulars would relate to the part of the slum which fell in the selected FSU. A detailed discussion on the sample design and estimation procedure followed in the survey is given as an attachment to external resources.

### **Deviations from Sample Design**

There was no deviation from the original sample deviation.

### **Response Rate**

A total of 3552 FSUs (i.e., UFS blocks) were selected for the Central sample in this survey, of which 3538 could be surveyed (a response rate of 99.6 percent). At the all-India level, a total of 692 slums were covered in these surveyed urban blocks.

### Weighting

Sample weights were calculated and included in each of the data files. Variable 'Wgt\_SS' refers to Multiplier for each Subsample. Variable 'Wgt Combined' refers to Combined Multiplier.

# Questionnaires

### **Overview**

#### Schedule 0.21 consists of 6 blocks. The blocks are:

Block 0: descriptive identification of sample FSU (Urban)

Block 1: identification of sample FSU (Urban)

Block 2: particulars of field operations

Block 3: particulars about the slum

Block 4: change in the condition of the slum during the last 5 years

Block 5: remarks by investigator/senior investigator

Block 6: comments by supervisory officers.

### **Data Collection**

### **Data Collection Dates**

Start	End	Cycle
2002-07-01	2002-09-30	N/A
2002-10-01	2002-12-31	N/A

### **Data Collection Mode**

Focus Group [foc]

### **Data Collection Notes**

The entire survey period (1st July 2002 - 31st December 2002) was divided into two sub-rounds of three months' duration each

(Sub-round 1: July-September 2002, Sub -round 2: October-December 2002) and an equal number of sample blocks were allocated to each sub-round. Each FSU was surveyed during the sub-round period to which it was allocated. Within a particular sub-round, the field-work was spread out uniformly over the different months to the extent possible. Unlike household surveys where data are collected from a member of each household selected for the survey, data were collected in this survey for the entire slum from knowledgeable persons as judged by survey officials.

### **Questionnaires**

Schedule 0.21 consists of 6 blocks. The blocks are:

Block 0: descriptive identification of sample FSU (Urban)

Block 1: identification of sample FSU (Urban)

Block 2: particulars of field operations

Block 3: particulars about the slum

Block 4: change in the condition of the slum during the last 5 years

Block 5: remarks by investigator/senior investigator

Block 6: comments by supervisory officers.

#### **Data Collectors**

Name	Abbreviation	Affiliation
Field Operations Division of Naional Sample Survey Office	NSSO(FOD)	Ministry of Statistics and Programme Implementation

# **Data Processing**

# **Data Editing**

See the attached technical document in external resources.

# **Other Processing**

See the attached technical document in external resources.

# Data Appraisal

No content available

# **File Description**

# **Variable List**

### **Block-3-records**

Information relating to the area where the slum is located, condition of the road / lane / constructed Content

path within and surrounding the slum, and type of basic amenities like electricity, drinking water,

sewerage, drainage, garbage disposal, etc. are the content of this dataset of block-3.

Cases 692 Variable(s) 46

Type: relational Structure

Keys: KEY Slum srlno(Key to locate slum serial no)

Version

Producer NSSO

Missing Data

### **Variables**

ID	Name	Label	Туре	Format	Question
V1	KEY_Slum_srlno	Key to locate slum serial no	discrete	character	
V2	KEY_FSU	Key to locate FSU	discrete	character	
V3	Rec_ID	Record Identifier(indicates block no)	discrete	character	
V4	Rnd_Sch	Round Schedule	discrete	character	
V5	Sub_Round	Sub-Round	discrete	character	Sub-round
V6	Sub_sample	Sub-sample	discrete	character	Sub-sample
V7	Sector	Sector	discrete	character	Sector code
V8	State	State	discrete	character	State code
V9	Region	Region	discrete	character	Region code
V10	District	District	discrete	character	District code
V11	Stratum	Stratum	discrete	character	Stratum
V12	Sub_Stratum	Sub-Stratum	discrete	character	Sub-Stratum
V13	FSU	FSU Serial No.	discrete	character	First Stage Unit serial no
V84	B1_q13	No. of slums	contin	numeric	No. of slums in the block
V15	B1_q14	Informant code	discrete	character	Informant code
V16	B2_q2	Date of Survey	discrete	character	
V17	B3_q1	Srl no. of slum	discrete	character	srl. number of the slum in the block
V18	B3_q2	No. of hhlds.	contin	numeric	Approximate number of households in the slum
V19	B3_q3	Area of the slum	discrete	character	Approximate area of the slum
V20	B3_q4	Slum notified	discrete	character	Is the slum a notified one?
V21	B3_q5	Year of notification	discrete	character	If code 1 in col. 4, year of notification
V22	B3_q6	Ownership of the land	discrete	character	Ownership of the land where slum is located
V23	B3_q7	Type of area	discrete	character	Type of area surrounding the slum:
V24	B3_q8	Location of slum	discrete	character	Location of slum

ID	Name	Label	Туре	Format	Question
V25	B3_q9	Physical location	discrete	character	Physical location of the slum:
V26	B3_q10	Waterlogged?	discrete	character	Does the slum usually remain waterlogged during monsoon?:
V27	B3_q11	Approach road waterlogged?	discrete	character	Does the approach road / lane / constructed path usually remain waterlogged in monsoon?
V28	B3_q12	Electricity?	discrete	character	Whether the slum has electricity?:
V29	B3_q13	Type of structure	discrete	character	Type of structure of the majority of houses
V30	B3_q14	Type of road/lane	discrete	character	Type of road / lane / constructed path within the slum.
V31	B3_q15	Approach road to slum	discrete	character	Approach road / lane / constructed path to the slum.
V32	B3_q16	Distance from motorable road	discrete	character	For code 3 or code 4 in col. 15, distance from the nearest motorable road.
V33	B3_q17	Major source of drinking water	discrete	character	Major source of drinking water.
V34	B3_q18	Latrine facility	discrete	character	Latrine facility used by most of the residents.
V35	B3_q19	Sewerage system	discrete	character	Does the slum have underground sewerage system?
V36	B3_q20	Drainage system	discrete	character	Type of drainage system
V37	B3_q21	Garbage disposal	discrete	character	Garbage disposal for the slum.
V38	B3_q22	Frequency of collection	discrete	character	If code 1 in col. 21, frequency of collection.
V39	B3_q23	Distance from primary school	discrete	character	Distance from nearest primary school.
V40	B3_q25	Association	discrete	character	Do the slum dwellers have an association for improving the condition of the slum?
V41	B3_q24	Distance from govt. hospital	discrete	character	Distance from nearest government hospital / health centre etc.
V42	Wgt_SS	Multiplier-Subsample-wise	contin	numeric	
V43	Wgt_combined	Multiplier-combined	contin	numeric	
V44	NSS	NSS	contin	numeric	
V45	NSC	NSC	contin	numeric	
V46	Wgt_posted	Multiplier Posted	contin	numeric	

### **Block-4-records**

This dataset contains information relating to any improvement/change in the condition with respect to Content

some amenities of the slum during the last 5 years.

Cases 37 Variable(s)

Type: relational Structure

Keys: KEY\_Slum\_Srllno(Key to locate slum serial no)

Version

NSSO Producer

Missing Data

### **Variables**

ID	Name	Label	Туре	Format	Question
V47	KEY_Slum_Srllno	Key to locate slum serial no	discrete	character	See dataset of Block-3 for details
V48	KEY_FSU	Key to locate FSU	discrete	character	See dataset of Block-3 for details
V49	Rec_ID	Record Identifier(indicates block no)	discrete	character	See dataset of Block-3 for details
V50	Rnd_Sch	Round Schedule	discrete	character	See dataset of Block-3 for details
V51	Sub_Round	Sub-Round	discrete	character	See dataset of Block-3 for details
V52	Sub_sample	Sub-sample	discrete	character	See dataset of Block-3 for details
V53	Sector	Sector	discrete	character	See dataset of Block-3 for details
V54	State	State	discrete	character	See dataset of Block-3 for details
V55	Region	Region	discrete	character	See dataset of Block-3 for details
V56	District	District	discrete	character	See dataset of Block-3 for details
V57	Stratum	Stratum	discrete	character	See dataset of Block-3 for details
V58	Sub_Stratum	Sub-Stratum	discrete	character	See dataset of Block-3 for details
V59	FSU	FSU Serial No.	discrete	character	See dataset of Block-3 for details
V60	B3_q1	Srl no. of slum	discrete	character	See dataset of Block-3 for details
V61	B4_q1c3	Condition-approach road	discrete	character	Condition of the mentioned facility in the slum area
V62	B4_q1c4	Source-approach road	discrete	character	source of improvement of the facility mentioned
V63	B4_q2c3	Condition-within road	discrete	character	Condition of the mentioned facility in the slum area
V64	B4_q2c4	Source-within road	discrete	character	source of improvement of the facility mentioned
V65	B4_q3c3	Condition-water supply	discrete	character	Condition of the mentioned facility in the slum area
V66	B4_q3c4	Source-water supply	discrete	character	source of improvement of the facility mentioned
V67	B4_q4c3	Condition-street lights	discrete	character	Condition of the mentioned facility in the slum area
V68	B4_q4c4	Source-street lights	discrete	character	source of improvement of the facility mentioned

ID	Name	Label	Туре	Format	Question
V69	B4_q5c3	Condition-electricity	discrete	character	Condition of the mentioned facility in the slum area
V70	B4_q5c4	Source-electricity	discrete	character	source of improvement of the facility mentioned
V71	B4_q6c3	Condition-latrine facility	discrete	character	Condition of the mentioned facility in the slum area
V72	B4_q6c4	Source-latrine facility	discrete	character	source of improvement of the facility mentioned
V73	B4_q7c3	Condition-sewerage	discrete	character	Condition of the mentioned facility in the slum area
V74	B4_q7c4	Source-sewerage	discrete	character	source of improvement of the facility mentioned
V75	B4_q8c3	Condition-drainage	discrete	character	Condition of the mentioned facility in the slum area
V76	B4_q8c4	Source-drainage	discrete	character	source of improvement of the facility mentioned
V77	B4_q9c3	Condition-garbage disposal	discrete	character	Condition of the mentioned facility in the slum area
V78	B4_q9c4	Source-garbage disposal	discrete	character	source of improvement of the facility mentioned
V79	Wgt_ss	Multiplier-Subsample-wise	contin	numeric	
V80	Wgt_combined	Multiplier-combined	contin	numeric	
V81	NSS	NSS	contin	numeric	
V82	NSC	NSC	contin	numeric	
V83	Wgt_posted	Multiplier Posted	contin	numeric	

# Key to locate slum serial no (KEY\_Slum\_srlno)

File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 7 Valid cases: 692 Invalid: 0

Key to locate FSU (KEY FSU)

File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 5 Valid cases: 692 Invalid: 0

Record Identifier(indicates block no) (Rec\_ID)

File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

#### Description

Indicate block number of schedule

Round Schedule (Rnd Sch)

File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 4 Valid cases: 692 Invalid: 0

#### **Description**

Indicates Round no of NSS survey(first 2 digit) and schedule number (next 2 digit)

Sub-Round (Sub Round)

File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Description

The survey period of this round was divided into two sub-rounds of three months duration each as follows:

Sub-round 1: July-September 2002 Sub-round 2: October-December 2002

#### Literal question

Sub-round

# Sub-sample (Sub\_sample)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### **Description**

FSUs were selected in the form of two independent sub-samples in both the sectors.

#### Literal question

Sub-sample

### Sector (Sector)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### **Description**

In the NSS, the domains of study are usually rural and urban areas within a zone, state, region or district. The rural and urban areas of the country are taken as adopted in the latest population census.

#### Literal question

Sector code

### State (State)

### File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

#### **Description**

States and Union Territories are the broad domains of study in the NSS. They are assigned 2 digited codes,

#### Literal question

State code

# Region (Region)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Description

States have been divided into regions by grouping contiguous districts similar in respect of population density and crop pattern. In Gujarat, however, some districts have been split for the purpose of region formation, considering the location of dry areas and the distribution of tribal population in the state. The compositions of the regions are given in Appendix-II of instruction manual

#### Literal question

Region code

### District (District)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

#### Literal question

District code

### Stratum (Stratum)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

### Description

In the urban sector, stratum was formed within each NSS region on the basis of size class of towns as per Census 1991 town population The stratum number and their composition (within each region) are given below:

stratum 1:all towns with population (P) < 0.1 mliln stratum 2:all towns with 0.1=P<0.5 million stratum 3:all towns with 0.5=P<1 million stratum 4.5.6, ... each town with P=1 million

The stratum numbers was retained as above even if, in some regions, some of the stratum is not formed.

#### Literal question

Stratum

# Sub-Stratum (Sub\_Stratum)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### **Description**

To cover more number of households living in slums, in urban sector each stratum was divided into 2 sub-strata as follows: sub-stratum 1: all UFS blocks having area type 'slum area'

sub-stratum 2: remaining UFS blocks.

If there was one UFS block with area type 'slum area' within a stratum, sub-stratum 1 was not formed; it was merged with sub-stratum 2.

#### Literal question

Sub-Stratum

# FSU Serial No. (FSU)

# File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 5 Valid cases: 692 Invalid: 0

#### **Description**

# FSU Serial No. (FSU)

### File: Block-3-records

A stratified multi-stage design has been adopted for the 58th round survey. The first stage units (FSU) are Urban Frame Survey (UFS) blocks in the urban sector. For towns with no UFS frame available (applicable to Leh and Kargil towns of J & K), each town is treated as an FSU.

#### Literal guestion

First Stage Unit serial no

No. of slums (B1\_q13) File: Block-3-records

#### **Overview**

Type: Continuous Format: numeric Width: 2 Decimals: 0 Valid cases: 692 Invalid: 0

#### Literal question

No. of slums in the block

#### Interviewer instructions

The total number of slums, both the notified ones as well as the others, found in the sample block will be recorded against this item.

# Informant code (B1 q14)

File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Informant code

#### Interviewer instructions

he information in blocks 3 and 4 are to be collected from one or more knowledgeable person(s) from the slum(s). The source of such information collected in blocks 3 and 4 is to be reported in this item. In case there is more than one source, the code will relate to the source from which maximum information has been collected.

### Date of Survey (B2\_q2) File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 6 Valid cases: 692 Invalid: 0

Srl no. of slum (B3\_q1)

File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

# Srl no. of slum (B3\_q1) File: Block-3-records

# Literal question

srl. number of the slum in the block

#### Interviewer instructions

The serial number of the slum for which information is being collected in Q.2 to 25 is to be recorded.

# No. of hhlds. (B3\_q2) File: Block-3-records

#### Overview

Type: Continuous

Format: numeric

Width: 4

Decimals: 0

Range: 20-3500

Valid cases: 692

Invalid: 0

Minimum: 20

Maximum: 3500

Mean: 162.4

Standard deviation: 296.4

#### Literal question

Approximate number of households in the slum

#### Interviewer instructions

The number of households residing in the slum as ascertained from local enquiry will be recorded in this column.

### Area of the slum (B3 q3)

# File: Block-3-records

#### Overview

Type: Discrete Valid cases: 691
Format: character Invalid: 0
Width: 1

#### Literal question

Approximate area of the slum

#### Interviewer instructions

The approximate area of the slum as ascertained by the investigator will be recorded in this column in codes. The class intervals are mentioned in hectares.

### Slum notified (B3\_q4) File: Block-3-records

#### **Overview**

Type: Discrete Valid cases: 692 Format: character Invalid: 0 Width: 1

#### Literal question

Is the slum a notified one?

### Interviewer instructions

The information whether the slum is a notified one or not will have to be ascertained from the local panchayat, municipality or other appropriate authorities. This will be recorded against this column in terms of codes. Code 1 is to be recorded if the slum is notified; else code 2 will be recorded.

# Year of notification (B3 q5)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Valid cases: 360 Invalid: 0

Width: 2

#### Literal question

If code 1 in col. 4, year of notification

#### Interviewer instructions

If the slum is a notified one, the year of notification by the appropriate government agency i.viz., panchayat, municipality or any other appropriate authority, will be recorded in this column. The last two digits of the year are to be reported in the two cells provided in this column, e.g., 1985 will be recorded as 85, 2000 will be recorded as 00.

# Ownership of the land (B3\_q6)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Ownership of the land where slum is located

#### Interviewer instructions

The ownership of the land under the slum will be recorded in this column in terms of codes. If there is more than one owner, code will relate to the owner of maximum area

# Type of area (B3\_q7) File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Type of area surrounding the slum:

#### Interviewer instructions

The type of area does not refer to the slum area but the area surrounding the slum. The type of the area surrounding the slum will be recorded in terms of codes.

# Location of slum (B3\_q8)

### File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 691 Invalid: 0

#### Literal question

Location of slum

### **Interviewer instructions**

The location of the slum refers to the part of the town in which the slum is situated. The appropriate code depending on the location of the slum is to be recorded in this column. Fringe area is the border of the town.

# Physical location (B3\_q9)

# File: Block-3-records

#### **Overview**

Type: Discrete Format: character

Width: 1

#### Literal question

Physical location of the slum:

#### Interviewer instructions

Physical location of the slum refers to the place where the slum is located.

### Waterlogged? (B3\_q10)

### File: Block-3-records

#### Overview

Type: Discrete Format: character

Valid cases: 691 Invalid: 0

Valid cases: 692

Invalid: 0

Width: 1

#### **Literal question**

Does the slum usually remain waterlogged during monsoon?:

#### Interviewer instructions

Information as to whether the slum area usually remains waterlogged for some days during monsoon will be recorded in terms of codes against this item. Code 1 is to be recorded if the slum usually remains waterlogged during monsoon and code 2, otherwise.

# Approach road waterlogged? (B3\_q11)

### File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 691 Invalid: 0

#### Literal question

Does the approach road / lane / constructed path usually remain waterlogged in monsoon?

#### Interviewer instructions

Information as to whether the approach road / lane / constructed path to the slum usually remains waterlogged for some days during monsoon.

will be recorded in terms of codes against this item. Code 1 is to be recorded if the approach road/lane/path to slum usually remains waterlogged during monsoon and code 2, otherwise.

# Electricity? (B3\_q12)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Whether the slum has electricity?:

#### Interviewer instructions

# Electricity? (B3\_q12)

File: Block-3-records

The information in appropriate codes is to be entered in this column. Even, if the streetlights are only on the boundary of the slum, code 1 will be recorded. Instead of streetlight, in some places, if some lights at two or three important positions are found to be fixed, it will also be treated as having street lights.

# Type of structure (B3 q13)

File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Type of structure of the majority of houses

#### Interviewer instructions

The information is to be recorded in terms of codes.

# Type of road/lane (B3\_q14)

File: Block-3-records

#### **Overview**

Type: Discrete Format: character Valid cases: 690 Invalid: 0

Width: 1

#### **Literal question**

Type of road / lane / constructed path within the slum.

#### Interviewer instructions

The information will relate to the road used by the slum dwellers as main thoroughfare. For a katcha road, code 1 will be recorded and for a pucca road code 2 will be recorded.

A road, made of pucca materials like asphalt, cement, concrete, burnt bricks / tiles, stones, slabs, etc. will be treated as a pucca road. A road not made of pucca material is a katcha road.

If there is more than one road, the one used by most of the households will be considered. If some part of the road used is pucca and the remaining is katcha, then the type of road will be determined by considering major length falling in a particular type.

# Approach road to slum (B3 q15)

### File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Approach road / lane / constructed path to the slum.

#### Interviewer instructions

The approach road will mean the main road leading to the slum area. If there is more then one approach road, the one which is used by majority of slum dwellers is to be considered for this purpose. The construction type of the approach road or lane or constructed path for entrance to the slum area will be recorded in terms of codes. A motorable road is one, which is wide enough for a motorcar to pass through.

# Distance from motorable road (B3 q16)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 175 Invalid: 0

#### Literal question

For code 3 or code 4 in col. 15, distance from the nearest motorable road.

#### Interviewer instructions

This column will be filled in if the approach road / lane / constructed path for entrance to the slum area is non-motorable. Otherwise, a "-" may be put in this column. The distance from the nearest motorable road is to be recorded in terms of code.

# Major source of drinking water (B3\_q17)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Major source of drinking water.

#### Interviewer instructions

Information about the major source of drinking water available for the slum dwellers will be ascertained and recorded in terms of codes. If an arrangement is made by corporation, municipality, panchayat or other local authorities or any private or public housing estate or agency to supply water through pipe then code 1 will be given.

# Latrine facility (B3\_q18)

### File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

#### Literal question

Latrine facility used by most of the residents.

#### Interviewer instructions

The latrine facility used by most of the slum dwellers is to be noted in codes against this item.

# Sewerage system (B3 q19)

### File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Does the slum have underground sewerage system?

#### Interviewer instructions

### Sewerage system (B3 q19)

### File: Block-3-records

Sewerage system contains underground pipe or conduit for carrying off drainage water, waste matter, discharge from water closets, etc. This information as ascertained from the informant(s) is to be recorded in codes. Code 1 is to be given if there is an underground sewerage system and code 2 otherwise.

### Drainage system (B3 q20)

### File: Block-3-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Type of drainage system

#### Interviewer instructions

A system for carrying off waste water and liquid wastes of the area will be considered as the drainage system. The type of drainage system existing in the slum area is to be entered in terms of codes against this item.

# Garbage disposal (B3\_q21)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Garbage disposal for the slum.

#### Interviewer instructions

In the urban areas, some arrangements usually exist to carry away the refuse and waste of households to some dumping place away from the residential areas. In some places, the public bodies collect the garbage from the premises of the household or from some fixed points in the locality where the residents put their garbage. In some places, a body of residents themselves make the arrangement of carrying the garbage to the dumping place away from residential areas without participation of any public body till the final disposal. Information on the arrangement prevailing for the colony/locality of the slum will be obtained and entered in codes.

# Frequency of collection (B3\_q22)

### File: Block-3-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 399 Invalid: 0

#### Literal question

If code 1 in col. 21, frequency of collection.

#### Interviewer instructions

If the garbage disposal is arranged by panchayat / municipality / corporation, then this item is to be filled in terms of codes. Otherwise, a "-" may be put in this column.

# Distance from primary school (B3 q23)

### File: Block-3-records

#### **Overview**

Type: Discrete Valid cases: 692
Format: character Invalid: 0
Width: 1

#### Literal question

Distance from nearest primary school.

#### Interviewer instructions

The distance from the nearest school having primary classes will be recorded in terms of codes. Distance from the nearest facility will be considered if the facility is available to the slum dwellers. The distance will be considered from the centre of the slum.

# Association (B3\_q25) File: Block-3-records

#### Overview

Type: Discrete Valid cases: 692 Format: character Invalid: 0 Width: 1

Width. 1

#### Literal question

Do the slum dwellers have an association for improving the condition of the slum?

#### Interviewer instructions

It is to be enquired whether there is an association of the slum dwellers for improving the condition of the slum. The information is to be recorded in terms of codes. If the slum dwellers have any such association code 1 will be recorded, otherwise code 2 will be recorded.

### Distance from govt. hospital (B3 q24)

### File: Block-3-records

#### **Overview**

Type: Discrete Valid cases: 692
Format: character Invalid: 0
Width: 1

#### Literal question

Distance from nearest government hospital / health centre etc.

#### Interviewer instructions

The distance from the nearest government hospital / health centre will be recorded in terms of codes. Distance from the nearest facility will be considered if the facility is available to the slum dwellers. The distance will be considered from the centre of the slum.

# Multiplier-Subsample-wise (Wgt SS)

# File: Block-3-records

#### Overview

Type: Continuous

Format: numeric

Width: 6

Decimals: 2

Range: 2.5-911

Valid cases: 692

Invalid: 0

Minimum: 2.5

Maximum: 911

Mean: 149.4

Standard deviation: 129.5

# Multiplier-combined (Wgt\_combined)

### File: Block-3-records

#### Overview

Type: Continuous Format: numeric Width: 6 Decimals: 2 Range: 1.25-455.5 Valid cases: 692 Invalid: 0 Minimum: 1.3 Maximum: 455.5 Mean: 74.7

Standard deviation: 64.8

# NSS (NSS)

File: Block-3-records

#### **Overview**

Type: Continuous Format: numeric Width: 2 Decimals: 0 Range: 1-57 Valid cases: 692 Invalid: 0 Minimum: 1 Maximum: 57 Mean: 10.6

Standard deviation: 12.4

#### **Description**

Variables posted for generating final multiplier

### NSC (NSC)

File: Block-3-records

#### Overview

Type: Continuous Format: numeric Width: 3 Decimals: 0 Range: 2-114 Valid cases: 692 Invalid: 0 Minimum: 2 Maximum: 114 Mean: 21.3

Standard deviation: 24.7

#### **Description**

Variables posted for generating final multiplier

# Multiplier Posted (Wgt\_posted)

### File: Block-3-records

#### **Overview**

Type: Continuous Format: numeric Width: 5 Decimals: 0 Range: 250-91100 Valid cases: 692 Invalid: 0 Minimum: 250 Maximum: 91100 Mean: 14938.8

Standard deviation: 12954

#### **Description**

Variables posted for generating final multiplier

# Key to locate slum serial no (KEY\_Slum\_Srllno)

### File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 7 Valid cases: 692 Invalid: 0

#### **Description**

See dataset of Block-3 for details

#### Literal question

See dataset of Block-3 for details

# Key to locate FSU (KEY\_FSU)

File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 5 Valid cases: 692 Invalid: 0

#### **Description**

See dataset of Block-3 for details

#### Literal question

See dataset of Block-3 for details

# Record Identifier(indicates block no) (Rec ID)

# File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 2

Valid cases: 692 Invalid: 0

### Description

See dataset of Block-3 for details

#### Literal question

See dataset of Block-3 for details

# Round Schedule (Rnd Sch)

### File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 4 Valid cases: 692 Invalid: 0

#### **Description**

See dataset of Block-3 for details

#### **Literal question**

See dataset of Block-3 for details

# Sub-Round (Sub\_Round)

File: Block-4-records

**Overview** 

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

**Description** 

See dataset of Block-3 for details

**Literal question** 

See dataset of Block-3 for details

Sub-sample (Sub\_sample)

File: Block-4-records

Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

Description

See dataset of Block-3 for details

**Literal question** 

See dataset of Block-3 for details

Sector (Sector)

File: Block-4-records

Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

Description

See dataset of Block-3 for details

Literal question

See dataset of Block-3 for details

State (State)

File: Block-4-records

Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

**Description** 

See dataset of Block-3 for details

**Literal question** 

See dataset of Block-3 for details

# Region (Region)

### File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### **Description**

See dataset of Block-3 for details

#### **Literal question**

See dataset of Block-3 for details

### District (District)

### File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

### Description

See dataset of Block-3 for details

#### **Literal question**

See dataset of Block-3 for details

### Stratum (Stratum)

### File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

### Description

See dataset of Block-3 for details

#### Literal question

See dataset of Block-3 for details

# Sub-Stratum (Sub\_Stratum)

# File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### **Description**

See dataset of Block-3 for details

#### Literal question

See dataset of Block-3 for details

# FSU Serial No. (FSU)

### File: Block-4-records

Overview

Type: Discrete Format: character Width: 5 Valid cases: 692 Invalid: 0

**Description** 

See dataset of Block-3 for details

**Literal question** 

See dataset of Block-3 for details

Srl no. of slum (B3\_q1) File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 2 Valid cases: 692 Invalid: 0

Description

See dataset of Block-3 for details

Literal question

See dataset of Block-3 for details

# Condition-approach road (B4 q1c3)

File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

From the informant(s), it is to be ascertained whether or not there has been any improvement or deterioration in the condition of the slum area during the last 5 years in regard to roads (approach and those inside the slum), water supply, street light, electricity, latrine facility, sewerage, drainage system and garbage disposal. The relevant information will be recorded in codes under respective question.

If some facility was never available during the last 5 years, then code 2 will be recorded. However, if a facility is enjoyed by most of the households illegally, such as electricity through illegal tapping, such a facility will not be considered.

# Source-approach road (B4\_q1c4)

File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 1

Valid cases: 304 Invalid: 0

#### Literal question

source of improvement of the facility mentioned

#### Interviewer instructions

# Source-approach road (B4\_q1c4)

File: Block-4-records

This item will be filled in if there has been some improvement in the condition of the slum in regard to the items given above(Q2c3). If any improvement has taken place during last 5 years, then the organisation/agency by which this improvement has been brought about will be ascertained and the same recorded in terms of code.

# Condition-within road (B4 q2c3)

File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4 q1c3 for details.

# Source-within road (B4\_q2c4)

File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 248 Invalid: 0

#### Literal question

source of improvement of the facility mentioned

#### **Interviewer instructions**

See B4 q1c4 for details.

# Condition-water supply (B4 q3c3)

File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4 q1c3 for details.

### Source-water supply (B4 q3c4)

File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1

Valid cases: 291 Invalid: 0

#### Literal question

# Source-water supply (B4\_q3c4)

File: Block-4-records

source of improvement of the facility mentioned

#### Interviewer instructions

See B4 q1c4 for details.

# Condition-street lights (B4\_q4c3)

File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4\_q1c3 for details.

# Source-street lights (B4\_q4c4)

File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 221 Invalid: 0

#### Literal question

source of improvement of the facility mentioned

#### Interviewer instructions

See B4 q1c4 for details.

# Condition-electricity (B4 q5c3)

File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1

Valid cases: 692 Invalid: 0

#### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4\_q1c3 for details.

# Source-electricity (B4 q5c4)

File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 218 Invalid: 0

# Source-electricity (B4\_q5c4)

### File: Block-4-records

#### Literal question

source of improvement of the facility mentioned

#### Interviewer instructions

See B4\_q1c4 for details.

# Condition-latrine facility (B4\_q6c3)

### File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4\_q1c3 for details.

# Source-latrine facility (B4\_q6c4)

### File: Block-4-records

#### Overview

Type: Discrete Format: character Width: 1

Valid cases: 200 Invalid: 0

# Literal question

source of improvement of the facility mentioned

#### **Interviewer instructions**

See B4 q1c4 for details.

# Condition-sewerage (B4\_q7c3)

### File: Block-4-records

#### **Overview**

Type: Discrete Format: character Width: 1 Valid cases: 692 Invalid: 0

#### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4\_q1c3 for details.

# Source-sewerage (B4\_q7c4)

### File: Block-4-records

#### Overview

# Source-sewerage (B4\_q7c4)

File: Block-4-records

Type: Discrete Valid cases: 107
Format: character Invalid: 0

Width: 1

#### Literal question

source of improvement of the facility mentioned

#### Interviewer instructions

See B4 q1c4 for details.

# Condition-drainage (B4\_q8c3)

File: Block-4-records

#### Overview

Type: Discrete Valid cases: 692 Format: character Invalid: 0
Width: 1

#### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4 q1c3 for details.

# Source-drainage (B4\_q8c4)

File: Block-4-records

#### **Overview**

Type: Discrete Valid cases: 179
Format: character Invalid: 0
Width: 1

#### **Literal question**

source of improvement of the facility mentioned

#### Interviewer instructions

See B4 q1c4 for details.

# Condition-garbage disposal (B4\_q9c3)

File: Block-4-records

#### Overview

Type: Discrete Valid cases: 692
Format: character Invalid: 0
Width: 1

#### Literal question

Condition of the mentioned facility in the slum area

#### Interviewer instructions

See B4 q1c3 for details.

# Source-garbage disposal (B4\_q9c4)

File: Block-4-records

# Source-garbage disposal (B4\_q9c4)

File: Block-4-records

#### **Overview**

Type: Discrete Valid cases: 194
Format: character Invalid: 0
Width: 1

#### Literal question

source of improvement of the facility mentioned

#### Interviewer instructions

See B4 q1c4 for details.

# Multiplier-Subsample-wise (Wgt\_ss)

File: Block-4-records

#### Overview

Type: Continuous

Format: numeric

Width: 6

Decimals: 2

Range: 2.5-911

Valid cases: 692

Invalid: 0

Minimum: 2.5

Maximum: 911

Mean: 149.4

Standard deviation: 129.5

# Multiplier-combined (Wgt combined)

File: Block-4-records

#### Overview

Type: Continuous Valid cases: 692
Format: numeric Invalid: 0
Width: 6 Minimum: 1.3
Decimals: 2 Maximum: 455.5
Range: 1.25-455.5 Mean: 74.7

Standard deviation: 64.8

### NSS (NSS)

File: Block-4-records

### Overview

Type: Continuous

Format: numeric

Width: 2

Decimals: 0

Range: 1-57

Valid cases: 692

Invalid: 0

Minimum: 1

Maximum: 57

Mean: 10.6

Standard deviation: 12.4

### NSC (NSC)

File: Block-4-records

#### Overview

# NSC (NSC)

# File: Block-4-records

Type: Continuous

Format: numeric

Width: 3

Decimals: 0

Maximum: 114

Range: 2-114

Valid cases: 692

Invalid: 0

Minimum: 2

Maximum: 114

Mean: 21.3

Standard deviation: 24.7

# Multiplier Posted (Wgt\_posted)

File: Block-4-records

#### Overview

Type: Continuous

Format: numeric

Width: 5

Decimals: 0

Range: 250-91100

Valid cases: 692

Invalid: 0

Minimum: 250

Maximum: 91100

Mean: 14938.8

Standard deviation: 12954

### **Documentation**

### **Questionnaires**

### NSS 58th Round Schedule 0.21-Particulars of Slum

Title NSS 58th Round Schedule 0.21-Particulars of Slum

Author(s) NSSO Country India Language English

Filename Schedule\_58\_0.21.pdf

### Reports

### **Condition of Urban Slums-Salient features**

Title Condition of Urban Slums-Salient features

Author(s) NSSO Country India Language English

Filename Report-486-condition of urban slums.pdf

### IHSN Study Report NSS 58R Schedule 0.21

Title IHSN Study Report NSS 58R Schedule 0.21

Country India Language English

Filename IHSN Study Report-NSS58R-Schedule 0.21.pdf

### **Technical documents**

### Note on sample design and estimation procedure of NSS 58th

Title Note on sample design and estimation procedure of NSS 58th

Author(s) NSSO Country India Language English

Filename Estimation Procedure\_58.pdf

### **System Design NSS 58Round**

Title System Design NSS 58Round

Author(s) NSSO Country India Language English

### Other materials

### **Instructions to Field Staff Vol-11**

Title Instructions to Field Staff Vol-11

Author(s) NSSO Country India Language English

Filename Instrn to Field Staff.pdf

### **List of NSS**

Title List of NSS Author(s) NSSO Country India Language English

Filename List of NSS Regions-NSS58.pdf

### **List of State Codes**

Title List of State Codes

Author(s) NSSO Country India Language English

Filename State Codes\_NSS 58R.pdf